

STATEMENT OF BENEFICIAL OWNER (for a Stock Company)

(Name of Notary Office)

(Name of Notary)

To: _____

I will report the identification of beneficial owner and whether the beneficial owner is a Member of an Organized Crime Group, etc. at the time of establishment of the stock company, (Trade Name) _____, as below.

Year: Month: Day:

■ Address of the client

■ Name of the client (signature & seal or name & seal [name & electronic signature is also acceptable])

Seal

The ground on which a person is the beneficial owner (Please check (✓) the applicable □ of ① through ④ on the left.) (※1)

- ① A natural person who directly or indirectly holds more than 50% of total voting right of the company to be established (except for the case where it is evident that this person has no intention or capacity of substantially controlling business management of that company): Article 11, paragraph (2), item (i) of the Ordinance for Enforcement of the Act on Prevention of Transfer of Criminal Proceeds (hereinafter referred to as the “Ordinance for Enforcement of Criminal Proceeds Act)
- ② In the case where there is no person applicable to ①, a natural person who directly or indirectly holds more than 25% of total voting right of the company to be established (except for the case where it is evident that this person has no intention or capacity of substantially controlling business management of that company, or where the person directly or indirectly holds more than 50% of total voting right of a company established by other person(s)): Article 11, paragraph (2), item (i) of the Ordinance for Enforcement of Criminal Proceeds Act
- ③ In the case where there is no person applicable to ① or ②, a natural person who exercises controlling influence on business activity of the company to be established through its contribution, loan, transaction or other relationship: Article 11, paragraph (2), item (ii) of the Ordinance for Enforcement of Criminal Proceeds Act
- ④ In the case where there is no person applicable to any of ①, ② or ③, a natural person who represents the company to be established and executes the business activity thereof : refer to Article 11, paragraph (2), item (iv) of the Ordinance for Enforcement of Criminal Proceeds Act

Identification of the beneficial owner (※2, ※3)

Whether the beneficial owner is a Member of an Organized Crime Group, etc. (※4)

| | | | | | | |
|---------|-------------------------------------|---|--|-----------------------|--------------------|---|
| Address | | Nationality, etc. | Japan • Other (※5) () | Gender | Male • Female (※6) | (Member of an Organized Crime Group, etc.) |
| | | Date of birth | (Showa • Heisei • Dominical year) (year) (month) (day) | Share of voting right | % (※7) | |
| Name | Phonetic transcriptions of the name | Evidence materials for recognizing the person as the beneficial owner | Articles of incorporation • Materials other than articles of incorporation • None (※8) | | | Yes |
| | | | | | No | |
| Address | | Nationality, etc. | Japan • Other (※5) () | Gender | Male • Female (※6) | (Member of an Organized Crime Group, etc.) |
| | | Date of birth | (Showa • Heisei • Dominical year) (year) (month) (day) | Share of voting right | % (※7) | |
| Name | Phonetic transcriptions of the name | Evidence materials for recognizing the person as the beneficial owner | Articles of incorporation • Materials other than articles of incorporation • None (※8) | | | Yes |
| | | | | | No | |
| Address | | Nationality, etc. | Japan • Other (※5) () | Gender | Male • Female (※6) | (Member of an Organized Crime Group, etc.) |
| | | Date of birth | (Showa • Heisei • Dominical year) (year) (month) (day) | Share of voting right | % (※7) | |
| Name | Phonetic transcriptions of the name | | | | | Yes |

| | | | | |
|--|--|---|---|---------|
| | | Evidence materials for recognizing the person as the beneficial owner | Articles of incorporation · Materials other than articles of incorporation · None (※8) | · No |
|--|--|---|---|---------|

- ※ 1 Calculation of 50% in ❶ and 25% in ❷ is done by the ratio combining the ratios as below (Article 11, paragraph (3) of the Ordinance for the Enforcement of Criminal Proceeds Act)
 - (1) Ratio of voting right of that company held by that natural person to all voting right of that company
 - (2) Ratio of voting right of that natural person's controlling corporation (meaning a corporation of which that natural person holds more than 50% of total voting right. In this case, other corporation of which that natural person and one or more of controlling corporations or that natural person's one or more controlling corporations hold more than 50% of total voting right is regarded as that natural person's controlling corporation.) to all voting right of that company
- ※ 2 In the "Address, Name" column, in the case of ❶, enter one applicable person, in the case of ❷ through ❹, enter all applicable person(s).
- ※ 3 Since listed companies, etc. and their subsidiaries are regarded as a natural person pursuant to Article 11, paragraph (4) of the Ordinance for Enforcement of Criminal Proceeds Act, enter its "Address, Name" in the "Address, Name" column for a natural person above.
- ※ 4 If a beneficial owner does not fall under neither of a Member of an Organized Crime Group (Article 2, item (vi) of Act on Prevention of Unjust Acts by Organized Crime Group Members) nor International Terrorist (a person of which public notice has been issued pursuant to the provisions prescribed in Article 3, paragraph (1) of Act on Special Measures Concerning International Terrorist Assets-Freezing, etc. Conducted by the Government Taking into Consideration United Nations Security Council Resolution 1267, etc. or a person designated pursuant to the provisions of Article 4, paragraph (1) of said Act), circle "No" in the "Whether the beneficial owner is a Member of an Organized Crime Group, etc." column, if falling under any of them, circle "Yes".
- ※ 5 In the "Nationality, etc." column, if having a Japanese nationality, circle "Japan", if not having a Japanese nationality, circle "Other" and enter a specific country's name in () above.
- ※ 6 In the "Gender" column, circle the applicable one.
- ※ 7 In the "Share of voting right" column, fill the column only in the case of ❶ or ❷.
- ※ 8 In the "Evidence materials for recognizing the person as the beneficial owner" column, circle the applicable one, and if there are materials other than articles of incorporation, attach the original or a copy of that. Also, attach materials that clarify the identification and other matters of the beneficial owner. (In the case of a natural person, the driver's license, passport, Individual Number Card (so-called My Number Card), a copy of residence card, etc., in the case of corporation, the original or a copy of certificate of all matters and registered seal certificate.)

If there are more than three (3) persons to be a beneficial owner, please use another report and fill it out.

STATEMENT OF BENEFICIAL OWNERS

(for a General Incorporated Association /Foundation)

(Name of Notary Office) _____

Notary's name _____

I will report the identification and other matters of a beneficial owner and whether the beneficial owner is a Member of an Organized Crime Group, etc. at the time of establishment of the General Incorporated Association/Foundation (Name) _____, as below.

Year: Month: Day:

■ Address of the client _____

■ Name of the client (signature & seal or name & seal [name & electronic signature is also acceptable]) _____

Seal _____

The ground on which a beneficial owner (Please check (✓) the applicable □ of ① or ② on the left.) (※1)

- ① A natural person to be a natural person who possess a controlling influence on business activity of the company to be established through its contribution, loan, transaction or other relationship: Article 11, paragraph (2), item (iii), (b) of the Ordinance for Enforcement of the Act on Prevention of Transfer of Criminal Proceeds (hereinafter referred to as the "Ordinance for Enforcement of Criminal Proceeds Act)
- ② In the case where there is no person applicable to ①, a natural person who represents the general incorporated association/foundation to be established and executes the business: Article 11, paragraph (2), item (iv) of the Ordinance for Enforcement of Criminal Proceeds Act

Identification of the beneficial owner (※1, ※2)

Whether the beneficial owner is a Member of an Organized Crime Group, etc. (※3)

| | | | | | | |
|---------|-------------------------------------|---|--|--------|--------------------|--|
| Address | | Nationality, etc. | Japan · Other (※4) | Gender | Male · Female (※5) | (Member of an Organized Crime Group, etc.) |
| | | Date of birth | (Showa · Heisei · Dominical year) (year) (month) (day) | | | |
| Name | Phonetic transcriptions of the name | Evidence materials for recognizing the person as the beneficial owner | Articles of incorporation · Materials other than articles of incorporation · None (※6) | | | |
| Address | | Nationality, etc. | Japan · Other (※4) | Gender | Male · Female (※5) | (Member of an Organized Crime Group, etc.) |
| | | Date of birth | (Showa · Heisei · Dominical year) (year) (month) (day) | | | |
| Name | Phonetic transcriptions of the name | Evidence materials for recognizing the person as the beneficial owner | Articles of incorporation · Materials other than articles of incorporation · None (※6) | | | |
| Address | | Nationality, etc. | Japan · Other (※4) | Gender | Male · Female (※5) | (Member of an Organized Crime Group, etc.) |
| | | Date of birth | (Showa · Heisei · Dominical year) (year) (month) (day) | | | |
| Name | Phonetic transcriptions of the name | | | | | |

| | | | | |
|--|--|---|---|----|
| | | Evidence materials for recognizing the person as the beneficial owner | Articles of incorporation Materials other than articles of incorporation None (※6) | No |
|--|--|---|---|----|

- ※ 1 In the “Address, Name” column, enter all applicable person(s).
- ※ 2 Since listed companies, etc. and their subsidiaries are regarded as a natural person pursuant to Article 11, paragraph (4) of the Ordinance for Enforcement of Criminal Proceeds Act, enter its “Address, Name” in the “Address, Name” column for a natural person above.
- ※ 3 If a beneficial owner does not fall under neither of Member of an Organized Crime Group (Article 2, item (vi) of Act on Prevention of Unjust Acts by Organized Crime Group Members) nor International Terrorist (a person of which public notice has been issued pursuant to the provisions prescribed in Article 3, paragraph (1) of Act on Special Measures Concerning International Terrorist Assets-Freezing, etc. Conducted by the Government Taking into Consideration United Nations Security Council Resolution 1267, etc. or a person designated pursuant to the provisions of Article 4, paragraph (1) of said Act), circle “No” in the “Whether the beneficial owner is a Member of an Organized Crime Group, etc.” column, if falling under any of them, circle “Yes”.
- ※ 4 In the “Nationality, etc.” column, if having a Japanese nationality, circle “Japan”, if not having a Japanese nationality, circle “Other” and enter a specific country’s name in () above.
- ※ 5 In the “Gender” column, circle the applicable one.
- ※ 6 In the “Evidence materials for recognizing the beneficial owner” column, circle the applicable one, and if there are materials other than articles of incorporation, attach the original or a copy of that. Also, attach materials that clarify the identification and other matters of the beneficial owner. (In the case of a natural person, the driver’s license, passport, Individual Number Card (so-called My Number Card), a copy, etc. of residence card, etc. in the case of corporation, the original or a copy of certificate of all matters and registered seal certificate.)

If there are more than three (3) persons to be a beneficial owner, please use another report and fill it out.